

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Tatsuo KOZAKAYA et al. )  
) Group Art Unit:  
Application No.: Not Yet Assigned )  
) Examiner:  
Filed: December 12, 2003 )  
)  
For: FACE IMAGE PROCESSING )  
APPARATUS AND METHOD )

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following is listed on the accompanying PTO-1449 and is in a non-English language:

1. Japanese Patent Application No. 2002-183734 - The relevance of this document is discussed at page 4 of the specification of the present application. An English language abstract of this document is enclosed.

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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

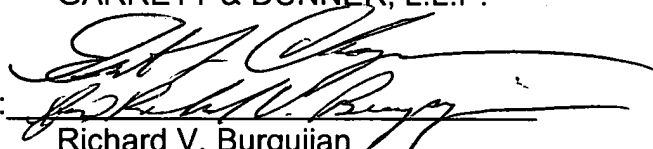
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 12, 2003

By:

  
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Enclosures  
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## INFORMATION DISCLOSURE CITATION

Atty. Docket No. 07906.0020	Application No.
Applicant Tatsuo KOZAKAYA et al.	
Filing Date December 12, 2003	Group:

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		2002-183734	June 28, 2002	Japan			NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	YAMAGUCHI et al; "Smartface"-A Robust Face Recognition System under Varying Facial Pose and Expression", IEICE TRANS. INF & SYST., Vol. E86-D, No. 1, pp. 37-44, January 2003

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce